SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Schuetz Alexander  |   |  |   |                                | 2. Issuer Name and Ticker or Trading Symbol<br>HELIOS TECHNOLOGIES, INC. [HLIO] |  |     |  |   |                    |  |  | (Check                              | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner  |  |        |  |  |  |
|--|---|--|---|--------------------------------|---|--|-----|--|---|--------------------|--|--|-------------------------------------|---|--|--------|--|--|--|
| (Last) (First) (Middle)  |   |  |   |                                | 3. Date of Earliest Transaction (Month/Day/Year)<br>09/23/2022                  |  |     |  |   |                    |  |  |                                     | Officer (g<br>below)  | ive title  |        | Other (s<br>below)   |  |  |
| 7456 SIXTEENTH STREET EAST   |   |  |   |                                | 4. If Amendment, Date of Original Filed (Month/Day/Year)                        |  |     |  |   |                    |  |  |                                     | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |  |        |  |  |  |
| (Street)<br>SARASOTA FL  |   | 34   | 34243   |                                |   |  |     |  |   |                    |  |  |                                     |   | -  | •      | one Reportin   | g Person   |  |
| (City)   | (State) (Zip)   |  | lip)  |                                |   |  |     |  |   |                    |  |  |                                     |   |  |        |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |  |   |                                |   |  |     |  |   |                    |  |  |                                     |   |  |        |  |  |  |
| Date   |   |  |   | 2. Transa<br>Date<br>(Month/Da |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |     | Transaction Dispo<br>Code (Instr.                              |   |                    | ities Acqui<br>d Of (D) (Ir  |  |                                     | 5. Amount<br>Securities<br>Beneficiall<br>Following   | y Owned or I<br>Reported (Ins  |        | : Direct (D)<br>lirect (I)<br>4)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership                |  |
|  |   |  |   |                                |   |  |     | Code   | v | Amount             | Amount (A)<br>(D)  |  | Price                               | Transaction(s)<br>(Instr. 3 and 4)  |  |        |  | (Instr. 4)   |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |                                |   |  |     |  |   |                    |  |  |                                     |   |  |        |  |  |  |
| 1. Title of<br>Derivative<br>Security (Instr.<br>3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Ye | Code                           | saction<br>e (Instr.  | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D) (Instr. 3,<br>4 and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |   |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |  |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) |        | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   | Code                           | e V   | (A)  | (D) | Date<br>Exercisab  |   | Expiration<br>Date | Title  |  | Amount<br>or<br>Number<br>of Shares |   | (Instr. 4)   | ion(s) |  |  |  |
| Restricted Stock   | (1)   | 09/23/2022                                 |   | A                              |   | 837  |     | 09/23/202  | 3 | (2)                | Comm   |  | 837                                 | \$0.00  | 837  |        | D  |  |  |

Explanation of Responses:

1. Each RSU represents the right to receive, following vesting, one share of Common Stock.

2. N/A

Remarks:

/s/ Marc Greenberg, Attorney-in-09/27/2022 Fact for Alexander Schuetz

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.